

**UTILITY APPLICATION**Attorney Docket No.: **FORFLOW.1CP6C1**First Named Inventor: **Steven F. Bolling, M.D.**Title: **IMPLANTABLE HEART ASSIST SYSTEM AND  
METHOD OF APPLYING SAME**Express Mail Label No.: **EV 309088820 US****Direct all correspondence to Customer No.: 20995**

Date: December 5, 2003

Page 1 of 2

**Mail Stop Patent Application**

United States Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

**Inventors**

1. Steven F. Bolling, M.D.
2. Morteza Gharib, Ph.D.
3. Gabriel Aldea, M.D.
4. Mary Lynn Gaddis
5. Anthony J. Viole

**APPLICATION ELEMENTS:**

- (X) Specification in 32 pages.
- (X) Drawings in 8 formal sheets.
- (X) Declaration by Inventors in 6 pages.
  - Copy from a priority application
  - Examiner in prior application: Carl H. Layno
  - Group Art Unit of prior application: 3762

**CONTINUITY INFORMATION:**

Application	Relationship	Parent App. No.	Filing Date	Status
This Application	Continuation	10/289,467	11/06/02	Pending
10/289,467	Continuation-In-Part	10/171,023	06/11/02	Pending
10/171,023	Continuation-In-Part	10/078,260	02/15/02	Issued
10/078,260	Continuation-In-Part	09/552,979	04/21/00	Issued
09/552,979	Continuation-In-Part	09/470,841	12/23/99	Issued
09/470,841	Continuation-In-Part	09/289,231	04/09/99	Issued
09/289,231	Continuation-In-Part	09/166,005	10/02/98	Issued
09/166,005	Claims Priority From	60/061,434	10/09/97	Closed

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	First Named Inventor: <b>Steven F. Bolling, M.D.</b>
	Title: <b>IMPLANTABLE HEART ASSIST SYSTEM AND METHOD OF APPLYING SAME</b>
	Express Mail Label No.: <b>EV 309088820 US</b>
<b>Direct all correspondence to Customer No.: 20995</b>	
Date: December 5, 2003 Page 2 of 2	

**OTHER APPLICATION PARTS:**

- (X) Information Disclosure Statement and PTO-1449 in 8 total pages (IDS and 1449).  
(X) Return prepaid postcard.

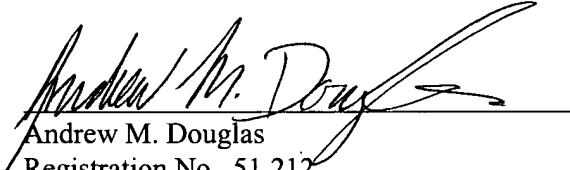
**FILING FEES:**

- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Basic Utility		2001 (\$385)		\$385
Non-English Spec.		1053 (\$130)		\$0
Recordation Fee		8021 (\$40)		\$0
Excess Claims > 20	6 - 20 = 0	1202 (\$18)	0 x 18 =	\$0
Independent > 3	6 - 3 = 3	1201 (\$86)	3 x 86 =	\$258 129
Multiple Claim		1203 (\$290)		\$
			<b>TOTAL FEE DUE</b>	<b>\$643 514</b>

- (X) A check in the amount of ~~\$643~~ <sup>014</sup> to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

  
Andrew M. Douglas  
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## MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

**Attorney Docket No.** : FORFLOW.1CP6C1

**Applicants** : Steven F. Bolling, M.D., et al.

**For** : IMPLANTABLE HEART ASSIST SYSTEM  
AND METHOD OF APPLYING SAME

**Andrew M. Douglas** : Attorney

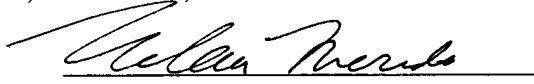
**"Express Mail"**  
**Mailing Label No.** : EV 309088820 US

**Date of Deposit** : December 5, 2003

I hereby certify that the accompanying

Transmittal letter; specification in 32 pages; 8 sheets of drawings; copy of  
**SIGNED** Declaration by Inventors in 6 pages; Information Disclosure Statement,  
PTO Form 1449 listing 156 references; Check for Filing Fee; Return Prepaid  
Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Nelson Merida

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